

# FUND BROKER NOMINATION FORM



## Start receiving your rebates with InvestSMART on your existing investments and life insurance

To find out whether you're eligible to receive rebates via our TrailCap™ program, please complete the following Fund Broker Nomination Form. Use a separate form for each fund manager or insurance provider.

## What happens next?

On receiving your application, your signed Nomination form will be sent to each fund manager or insurance provider. They will then note that you've nominated InvestSMART as your fund broker and should you be eligible for a rebate, this will effectively reduce the management fees you're currently paying.

## How will I save more through the InvestSMART TrailCap Program?

Some retail managed funds and life insurance providers pay brokers and advisors an ongoing commission called a trailing commission or trail. When InvestSMART is confirmed as your broker, we will start receiving any trailing commissions that are payable on your account. Not all fund managers and life insurance providers pay trailing commissions.

If your funds are eligible for the TrailCap™ program, the trailing commissions we receive will accumulate, and once every year, on the anniversary date when you switched to InvestSMART, you'll receive 50% of the accumulated trailing commissions, less our fee of \$300 per year.

If your funds are eligible, it will take about 2-3 months for us to receive the first trailing commission payment from the fund manager or life insurance provider. Rebates are paid via electronic fund transfer (EFT), therefore please ensure your bank details have been provided.

Any accumulated trails (rebates) and annual payouts can be tracked on your personal InvestSMART account.

Please read our full terms and conditions on our website at [www.investsmart.com.au](http://www.investsmart.com.au).

If you have any questions on our TrailCap™ Program, please contact our client services team on 1300 880 160.

Kind Regards,  
**THE INVESTSMART TEAM.**

# FUND BROKER NOMINATION FORM



Complete the following form to find out whether you're eligible to receive rebates via our TrailCap™ program.  
Please use a separate form for each fund manager or insurance provider and return to:

**FAX: 1300 880 260 or MAIL: InvestSMART Financial Services Pty Limited, PO Box 744 QVB NSW 1230 Australia**

## Your details

### APPLICANT

First name or company name					
Family name or director's name					
Address					
Suburb		State		Postcode	
Contact phone					
E-mail address	<input type="checkbox"/> Please tick here if you do not wish to receive email from InvestSMART				
Previous adviser/fund broker					
How did you find out about InvestSMART?					

## Details of your existing investments (Please use a separate form for each fund manager)

### FUND MANAGER DETAILS

Fund manager's name Eg: 'Colonial First State'			
Account name Eg: 'John Smith'	Name of fund Eg: 'Global Resources'	Client account number	Approximate value of investment
			\$
			\$
			\$

## Bank Account Details

If eligible, rebates are deposited to your nominated bank account. Please enter your bank details below.

### BANK DETAILS

Financial Institution		Branch	
BSB Number		Account Number	
Account Name			

## Signatures

I/We request that the fund manager named above amend their records to indicate that InvestSMART Financial Services Pty Ltd is the appointed broker for the investments listed above. I/We understand that InvestSMART will receive a trailing commission based on my/our investment amount. I/We have read and agree to the InvestSMART terms and conditions.

### APPLICANT 1

Name (please print)		Name (please print)	
Signature .....	Date .....	Signature .....	Date .....

### APPLICANT 2

## Managed funds held with the following managers that may be eligible for a cashback include:

- Advance Asset Management Limited
- AMP Capital Investors Limited
- AMP Life Limited
- APN Funds Management
- Australian Unity Funds Management Ltd
- BlackRock Investment Management Ltd
- BT Funds Management Ltd
- Challenger Limited
- Colonial First State Investments Limited
- Fidante Partners Limited
- Hunter Hall Investment Management Ltd
- Macquarie Investment Management Ltd
- Man Investments Australia Ltd
- MLC Investments Limited
- MLC Limited
- OnePath Funds Management Limited
- Perpetual Investment Management Ltd
- UBS Asset Management

## Life insurance, income protection or trauma cover (TDP) held with the following insurance companies that may be eligible include:

- AIA Insurance
- TAL Insurance
- Zurich Insurance

## IMPORTANT INFORMATION

InvestSMART also receives trailing commissions from fund managers. The trailing commissions are based on the level of the investor's funds (as introduced by InvestSMART) under management with the fund manager and range from 0% p.a. to 1.1% p.a. (GST inclusive). The average trailing commission is approximately 0.5% p.a. (e.g. \$25 per year on a \$5,000 investment).

Subject to our [Terms and Conditions](#) available at [www.investsmart.com.au](http://www.investsmart.com.au), the InvestSMART TrailCap™ program is designed to reduce the management fees on an investor's managed funds.

TrailCap™ provides investors with the means to freely monitor trailing commissions on their managed fund investments. The TrailCap™ program is only applicable to those funds managed by participating fund managers and insurers as outlined at the top of this page. The TrailCap™ program is subject to our [Terms and Conditions](#) ([www.investsmart.com.au](http://www.investsmart.com.au)) and may change at any time.

InvestSMART may receive fees for advertising on the site and within email newsletters.

InvestSMART may have a financial interest in the outcome or success of financial product offers on the website or in the email newsletter, by way of commission payment for subscribed financial products obtained through disclosure documents obtained from InvestSMART.

In preparing this form, your objectives, financial situation and personal needs have not been taken into account by InvestSMART. You should consider (with or without the assistance of an adviser) if the information is appropriate for you before making an investment decision.

## IMPORTANT INFORMATION REGARDING YOUR PRIVACY

We are committed to safeguarding the privacy of your personal information. We collect your personal information for the purposes of providing you with financial services and we will use the information to:

- provide you with the products or services you have requested;
- personalise and customise your interaction with InvestSMART;
- help InvestSMART manage and enhance its services;
- communicate with you;
- provide you with ongoing information about products and services available from InvestSMART.

Click here to view our complete [Privacy Policy](#).

## InvestSMART Financial Services Pty Ltd

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AFSL No: 226435

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NSW 1230 Australia

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Facsimile: 1300 880 260

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